

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application) **PATENT APPLICATION**
Inventor(s): James F. Zucherman)
Ken Y. Hsü)
T. Wade Fallin)
Henry A. Klyce)
SC/Serial No.: 08/778,093)
Filed: January 2, 1997)
Title: **SPINE DISTRACTION IMPLANT)
AND METHOD)**

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on 4/11/97

Sheldon R. Meyer, Reg. No. 27,660

Signature Date: 4/11/97

(Attorney Signature)

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe that I am the original, first and sole inventor (if one name is listed below), first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SPINE DISTRACTION IMPLANT AND METHOD

the specification of which (check applicable ones):

_____ is filed herewith;
 X was filed with the above-identified "Filed" date and "SC/Serial No."
_____ was amended on (or amended through) ____.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose information which is material to the examination of the application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

(1) Full name of sole
or first inventor: James F. Zucherman

(1) Residence: 3035 Price Street
San Francisco, CA 94123

(1) Post Office Address: 231 Sandringham Road
Piedmont, CA 94611

(1) Citizenship: USA

(1) Inventor's signature: 

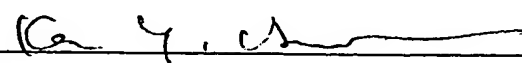
(1) Date: 3/27/97

(2) Full name of second
joint inventor: Ken Y. Hsu

(2) Residence: 52 Clarendon Avenue
San Francisco, CA 94114

(2) Post Office Address: 231 Sandringham Road
Piedmont, CA 94611

(2) Citizenship: USA

(2) Inventor's signature: 

(2) Date: March 27, 1997

(3) Full name of second
joint inventor: T. Wade Fallin

(3) Residence: 210 East 200 South
Hyde Park, UT 84318

(3) Post Office Address: 231 Sandringham Road
Piedmont, CA 94611

(3) Citizenship: USA

(3) Inventor's signature: T Wade Fallin

(3) Date: FEB 18, 1997

(4) Full name of second
joint inventor: Henry A. Klyce

(4) Residence: 231 Sandringham Road
Piedmont, CA 94611

(4) Post Office Address: 231 Sandringham Road
Piedmont, CA 94611

(4) Citizenship: USA

(4) Inventor's signature: [Signature]

(4) Date: FEB 22, 1997

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application) PATENT APPLICATION
Inventor(s): James F. Zucherman)
Ken Y. Hsu)
SC/Serial No.: 08/958,281)
Filed: October 27, 1997)
Title: SPINE DISTRACTION IMPLANT AND METHOD)

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on 2/27/97

Sheldon R. Meyer (Attorney Signature)
Sheldon R. Meyer, Reg. No. 27,660
Signature Date: 2/27/97

DECLARATION FOR C-I-P PATENT APPLICATION

As a below named inventor, I hereby declare that:
My residence, post office address and citizenship are as stated below next to my name;
I believe I am the original, first and sole inventor (if only one name is listed below),
original, first and joint inventor (if plural names are listed below) of the subject matter which
is claimed and for which a patent is sought on the invention of the **present application**:

Title of invention and of **present application**:

SPINE DISTRACTION IMPLANT AND METHOD

The specification of the **present application** (check applicable ones):

 is filed herewith;
 X was filed with the above-identified "Filed" date and "SC/Serial
No." (a copy of which is attached hereto)
 was amended on (or amended through) .

I have reviewed and understand the contents of the above-identified specification for the **present application**, including the claims, as amended by any amendment(s) referred to above.

I acknowledge the duty to disclose information which is material to the examination of the **present application** in accordance with Title 37, Code of Federal Regulations, §1.56.

This **present application** in part discloses and claims subject matter disclosed in, and I hereby claim the benefit under Title 35, United States Code §120 of any United States prior application(s) listed below:

(1) 08/778,093 January 2, 1997 Pending
(SC/Serial No.) (Filing Date) (Status-pending)

(2) _____
(SC/Serial No.) (Filing Date) (Status-patented,
pending, abandoned)

Insofar as the subject matter of each of the claims of the present application is not disclosed in the United States prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations §1.56 which occurred between the filing date(s) of the prior application(s) and the national or PCT international filing date of this present application.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the present application or any patent issuing thereon.

(1) Full name of sole
or first inventor: James F. Zucherman

(1) Residence: 3035 Pierce Street
San Francisco, CA 94123

(1) Post Office Address: 231 Sandringham Road
Piedmont, CA 94611

(1) Citizenship: USA

(1) Inventor's signature: 

(1) Date: 11/26/97

(2) Full name of second
joint inventor: Ken Y. Hsu

(2) Residence: 52 Clarendon Avenue
San Francisco, CA 94114

(2) Post Office Address: 231 Sandringham Road
Piedmont, CA 94611

(2) Citizenship: USA

(2) Inventor's signature: 

(2) Date: 11/26/97

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application)	<u>PATENT APPLICATION</u>
)	
Inventor(s):	James F. Zucherman)	
	Ken Y. Hsu)	
	Charles J. Winslow)	
	Henry A. Klyce)	
)	
SC/Serial No.:	09/175,645)	
)	
Filed:	October 20, 1998)	
)	
Title:	SPINE DISTRACTION IMPLANT)	
)	

DECLARATION FOR C-I-P PATENT APPLICATION

As a below named inventor, I hereby declare that:
My residence, post office address and citizenship are as stated below next to my name;
I believe I am the original, first and sole inventor (if only one name is listed below),
original, first and joint inventor (if plural names are listed below) of the subject matter which
is claimed and for which a patent is sought on the invention of the **present application**:

Title of invention and of present application:

SPINE DISTRACTION IMPLANT

The specification of the **present application** (check applicable ones):

_____ is filed herewith;
 X was filed with the above-identified "Filed" date and "SC/Serial No."
_____ was amended on (or amended through) _____.

I have reviewed and understand the contents of the above-identified specification for the **present application**, including the claims, as amended by any amendment(s) referred to above.

I acknowledge the duty to disclose information which is material to the examination of the **present application** in accordance with Title 37, Code of Federal Regulations, §1.56.

This **present application** in part discloses and claims subject matter disclosed in, and I hereby claim the benefit under Title 35, United States Code §120 of any United States prior application(s) listed below:

(1) <u>08/958,281</u> (SC/Serial No.)	<u>October 27, 1997</u> (Filing Date)	<u>Pending</u> (Status)
(1) <u>08/778,093</u> (SC/Serial No.)	<u>January 2, 1997</u> (Filing Date)	<u>Pending</u> (Status)

Insofar as the subject matter of each of the claims of the present application is not disclosed in the United States prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations §1.56 which occurred between the filing date(s) of the prior application(s) and the national or PCT international filing date of this present application.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the present application or any patent issuing thereon.

1) Full name of sole
or first inventor: James F. Zucherman

(1) Residence: 3035 Pierce Street
San Francisco, CA 94123

(1) Post Office Address: 231 Sandringham Road
Piedmont, CA 94611

(1) Citizenship: USA

(1) Inventor's signature: 

(1) Date: 1/7/99

(2) Full name of second joint inventor: Ken Y. Hsu
(2) Residence: 52 Clarendon Avenue
San Francisco, CA 94114
(2) Post Office Address: 231 Sandringham Road
Piedmont, CA 94611
(2) Citizenship: USA
(2) Inventor's signature: Ken Y. Hsu
(2) Date: 1/7/99

(3) Full name of second joint inventor: Charles J. Winslow
(3) Residence: 25 Hilton Ct.
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(3) Post Office Address: 231 Sandringham Road
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(3) Citizenship: USA
(3) Inventor's signature: Charles J. Winslow
(3) Date: 12/7/98

(4) Full name of second joint inventor: Henry A. Klyce
(4) Residence: 231 Sandringham Road
Piedmont, CA 94611
(4) Post Office Address: 231 Sandringham Road
Piedmont, CA 94611
(4) Citizenship: USA
(4) Inventor's signature: Henry A. Klyce
(4) Date: July 16, 1998
